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#### Description

A class of genes known as heat shock or stress genes occurs in all organisms from bacteria to man. Transcription of these genes is initiated following a stress treatment (e.g., heat shock) and translation of the transcripts produces proteins that probably protect the cell temporarily. During stress, normal polyribosomes quickly break down to monoribosomes which are then used to translate the heat shock mRNA's. Normal mRNA's present before the stress treatment are in some way protected during the stress period and they can be re-used in translation following termination of the stress. The production of heat shock mRNA's and proteins is only a temporary phenomenon and the expression of the heat shock genes levels of after a few hours and then declines. If the temperature is increased slowly rather than in a single step, an organism can withstand temperatures which would otherwise be lethal, i.e., the organism can adapt to higher temperatures.

#### Background of the Invention

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Over two decades ago, it was discovered (Ritossa, F. (1962) Experientia 18:571-573) that specific puffing patterns in the polytene chromosomes of Drosophila busckii could be induced by a brief heat shock. The puffing positions of Drosophila species polytene chromosomes are positions where there is active synthesis of mRNA, thus indicating active gene loci (Beerman, W. (1956) Cold Spring Harbor Symp. Quant. Biol. 21:217-232). Since then it has been shown that a variety of agents, e.g., arsenite or anaerobic conditions, can induce responses similar to those induced by heat, suggesting that a more appropriate name for these genes should be "stress genes". However, the nomenclature of "heat shock" genes is now well established and will be used in the remainder of this application.

Since the early 1950's it was known that the pattern of puffs in the polytene chromosomes of Dipteran larvae changed in a regular manner during development, and it was shown that these changes were controlled by ecdysteroid hormones (Clever, U. and P. Karlson (1960) Exp. Cell. Res. 20:623-626; Becker, H.-J. (1962) Chromosoma 13:341-384). In particular, it was shown that the pattern of puffing was disrupted by a brief heat shock (or treatment with certain chemicals) and resulted in the appearance of three new puffs. The induction of these new puffs was very rapid and occurred within minutes of the heat shock treatment, but the induction was transient. For example, when the temperature was raised from 25° --> 37°C, the puffs reached maximum size within 30 minutes and then regressed. At the same time, all puffs active before the heat shock regressed after the treatment. The heat shock puffing response was also found to occur in all tissues studied and at all stages of development.

It was later found that the heat shock treatment induced synthesis of a small number of polypeptides and repressed the synthesis of most others (Tissieres, A. et al. (1974) J. Mol. Biol. 84:389-398) and the mRNA produced at the new heat shock induced puffs was shown to code for the newly induced polypeptides (Lewis, M. J. et al. (1975) Proc. Nat. Acad. Sci. USA 72:3604-3608).

Within a few minutes of heat shock, all polyribosomes break down and are quickly replaced by a new polyribosome peak which contains heat-shock protein mRNA. This mRNA has been hybridized back to the heat shock induced puffs and has been translated in vitro into heat shock proteins (McKenzie, S. et al. (1977) J. Mol. Biol . 117:279-283; Mirault, M. E. et al. (1978) Cold Spring Harb. Symp. Quant. Biol. 42:819-827). It is of interest to note that even though all polyribosomes break down and the newly induced hsmRNA's are selectively translated, most normal mRNA's persist during heat shock (Ashburner, N. and J. F. Bonner (1979) Cell 17:241ff.)

Most of the early work on heat shock genes was done with Drosophila species. However, in 1978, analogous stress responses were found in chick embryonic fibroblasts (Kelly, P. and M. J. Schlesinger (1978) Cell 15:1277-1288), in Chinese hamster ovary cells (Bouche, G. et al. (1979) Nucleic Acids Research 7:1739-1747), in Escherichia coli (Lemeaux, P. G. et al. (1978) Cell 13:427-434), in yeast (Miller, M. J. et al. (1979) Proc. Nat. Acad. Sci. USA 76:5222-5225), in Naegleria (Walsh, C. (1980) J. Biol. Chem. 225:2629-2632), in Tetrahymena (Fink, K. and E. Zeutheu (1978) ICN-UCLA Symp. Mol. Cell. Biol. 12:103-115) and in many other species, including plants (Barnett, T. et al. (1980) Dev. Genet. 1:331-340). A similar pattern of heat shock protein synthesis has also been reported for tobacco and soybean cells growing in solution culture (Barnett, T., et al. (1980) supra) as that reported for soybean seedling tissue. It was also shown that the effects of trauma on vertebrate cells was similar to the effects of heat shock (Hightower, L. E. and F. P. Whit (1981) J. Cell. Physiol. 108:261).

The transcriptional and translational control of heat shock genes may be autoregulatory. Thus the activity of these genes may be controlled by the concentrations of the heat shock proteins present in the cells. Therefor, inducers of heat shock genes would be factors that either destroyed the heat shock

proteins or rendered them to be ffectively unavailable within the cell, e.g., by binding to various cell organelles.

The activation and subsequent repression of heat shock genes in Drosophila has been studied by the introduction of cloned segments into Drosophila cells. The P-element-mediated transformation system, which permits introduction of cloned Drosophila genes into the Drosophila germline, was used (Rubin, G. M. and A. C. Spradling (1982) Science 218:348-353). A gene integrated in this way is often present as a stable, single copy and has a relatively constant activity at a variety of chromosomal locations (Scholnick, S. B. et al. (1983) Cell 34:37-45; Goldberg, D. et al. (1983) Cell 34:59-73; Spradling, A. C. and G. M. Rubin (1983) Cell 34:47-57). In particular, the Drosophila hsp70 gene was tused in phase to the E. coli β-galactosidase structural gene, thus allowing the activity of the hybrid gene to be distinguished from the five resident hsp70 heat shock genes in the recipient Drosophila. Drosophila heat shock genes have also been introduced and their activity studied in a variety of heterologous systems, and, in particular, in monkey COS cells (Pelham, H. R. B. (1982) Cell 30:517-528; Mirault, M.-E. et al. (1982) EMBO J. 1:1279-1285) and mouse cells (Corces, V. et al. (1981) Proc. Nat. Acad. Sci. 78:7038-7042).

The hybrid hsp70-lacZ gene appeared to be under normal heat shock regulation when integrated into the Drosophila germ line (Lis, J. T. et al. (1983) Cell 35:403-410). Three different sites of integration formed large puffs in response to heat shock. The kinetics of puff formation and regression were exactly the same as those of the 87C locus, the site from which the integrated copy of the hsp70 gene was Isolated. The insertion of the 7 kilobase E. coli  $\beta$ -galactosidase DNA fragment into the middle of the hsp70 structural gene appeared to have had no adverse effect on the puffing response. The  $\beta$ -galactosidase activity in the transformants was regulated by heat shock.

Deletion analysis of the Drosophila hsp70 heat shock promoter has identified a sequence upstream from the TATA box which is required for heat shock induction. This sequence contains homology to the analogous sequence in other heat shock genes and a consensus sequence CTxGAAxxTTCxAG has been constructed (Pelham, H. R. B. and M. Bienz (1982) EMBO J. 1:1473-1477). When synthetic oligonucleotides, whose sequence was based on that of the consensus sequence, were constructed and placed upstream of the TATA box of the herpes virus thymidine kinase gene (tk) (in place of the normal upstream promoter element), then the resultant recombinant genes were heat-inducible both in monkey COS cells and in Xenopus oocytes. The tk itself is not heat inducible and probably no evolutionary pressure has occurred to make it heat inducible, but the facts above indicate that tk can be induced by a heat shock simply by replacing the normal upstream promoter element with a short synthetic sequence which has homology to a heat shock gene promoter.

An inverted repeat sequence upstream of the TATA box is a common feature of many of the heat shock promoters which have been studied (Holmgren, R. et al. (1981) Proc. Nat. Acad. Sci. USA 78:3775-3778). In five of the seven Drosophila promoters, this inverted repeat is centered at the 5'-side of the penultimate A residue of the consensus sequence, but the sequence of the inverted repeat itself is not conserved (Pelham, H. R. B. (1982) Cell 30:517-528). In some cases, however, the inverted repeat sequence occurs upstream from the TATA box and the consensus sequence is not present. In these cases there is no heat inducibility so the presence of the inverted repeat does not substitute for the consensus sequence.

The functional significance of the heat shock response is not known. Presumably it functions to protect the cell against environmental stress and to allow the cell to continue its function after the stress situation has passed. These conclusions are supported by a phenomenon known as "acquired thermotolerance". Cells exposed to a single heat shock, or some other stress, are relatively protected against the effects of a second, otherwise lethal heat shock (Li, G. C. and G. M. Hahn (1978) Nature 274:699-701; Henle; K. J. and L. A. Dethlefsen (1978) Cancer Res. 38:1843-1851; Mitchell, H. K. et al. (1979) Dev. Genet. 1:181-192; McAlister, L. and D. B. Finkelstein (1980) Biochem. Biophys. Res. Common. 93:819-824).

In higher plants, the heat shock (hs) phenomenon was first discovered at the level of protein synthesis in soybeans (Key, J. L. et al. (1981) Proc. Nat. Acad. Sci. USA 78:3526-3530; Barnett, T. et al. (1980) supra). A number of other plants, e.g., pea, millet, corn, sunflower, cotton and wheat, respond similarly to soybean in that a large number of new proteins of similar molecular weight are induced by a heat shock treatment. The major differences that occur among species are the optimum temperature of induction of hsproteins, the breakpoint temperature (i.e., above this temperature is lethal), the distribution of the 15-20 kD heat shock proteins on two-dimensional gels and the relative level of normal protein synthesis that occurs during heat shock. It has been shown that an elevation of temperature from 28 °C to 40 °C induced de novo synthesis of several major groups of hs-proteins (hsp) whose molecular weights resemble those found for Drosophila. However, ther is a marked difference in the complexity of the low molecular weight (lmw) group of hsp's between these two organisms. Drosophila synthesizes four hsp's of 22, 23, 26 and 27 kilodaltons; soybean produces more than 20 hsp's in the molecular weight range of 15-18 kilodaltons.

The translational preference for hs-mRNA's, while marked, appeared less pronounced in the soybean system (Key, J. L. et al. (1981) supra) than in Drosophila (Storti, R. V. et al. (1980) Cell 22:825-834). The induction of a new set of hs-specific mRNA's in soybean was suggested by in vitro translation of poly(A) RNA. Additional evidence for the existence of novel RNA in heat stressed plants was provided by sucrose gradient analysis which showed the accumulation of a 0.49 x 10<sup>6</sup> dalton RNA during hs of tobacco and cowpea leaves (Dawson, W. O. and G. L. Grantham (1981) Biochem. Biophys. Res. Commun. 100:23-30). In Drosophila, where transcriptional control of his protein synthesis is evident, attempts have been made to find signal structures for coordinate expression of these genes (Holmgren, R. et al. (1981) Proc. Nat. Acad. Sci. USA 78:3775-3778). The influence of hs on poly(A) mRNA's of soybean has been assessed using cDNA/poly(A) RNA hybridization and cloned cDNA/northern blot hybridization analyses (Schoffl, F. and J. u L. Key (1982) J. Mol. Appl. Genet. 1:301-314). The hs response in soybean is characterized by the appearance of a new highly abundant class of poly(A) RNA's consisting of some twenty different sequences of an average length of 800 to 900 nucleotides and a decrease in total poly(A) RNA complexity associated with changes in relative abundance of the 28°C sequences. The poly(A) RNA's of this new 15 abundant class are present at some 15,000 to 20,000 copies per cell after 2 hours of hs at 40°C. The genes for these four Drosophila hsp's comprise a small hs-gene family with similar sequences which are also related to that of α-crystallin (Ingolia, T. D. and E. A. Craig (1982) Proc. Nat. Acad. Sci. USA 79:2360-2364) implying that certain structural domains (possibly for functional aggregation) are shared by these proteins. The Imw-hsp genes in soybeans are the most actively expressed and coordinately regulated genes under hs conditions (Schoffi, F. and J. L. Key (1982) J. Mol. Appl. Genet. 1:301-314). Their hsp's are commonly associated with purified nuclei at high temperature, however, and disaggregate at low temperature (Key, J. L. et al. (1982) In: Schlesinger, M. J., Ashburner, M. and A. Tissieres (eds.) Heat shock, from Bacteria to Man. Cold Spring Harbor Laboratory, pp. 329-336). This indicates a common function for these proteins in hs-response which is possibly related to common structural features in proteins and genes. The Imw-hsp genes are subdivided into eight classes defined by sequence homologies among poly(A) mRNA's. Two of the eight classes are particularly interesting with respect to gene expression, because they represent the extreme components of the Imw-hsp genes. These are designated classes I and II; I consists of 13 closely related hsp's genes, while II comprises only 1hsp which has no known sequence homology to other hs-genes. Later information showed that class II could be grouped with class I. The separation into the two classes was originally made on the basics of a probe distal to the 3'-translated end of pE2019.

A wide range of crop plants respond to elevated temperatures of heat shock conditions by synthesizing a large number (30 or more) of hs-proteins (Key, J. L. et al. (1983) Current Topics In Plant Biochemistry and Physiology, eds. D. D. Randall, D. G. Blevins, A. L. Larson and B. J. Rapp. Vol. 2, Univ. of Missouri, Columbia, pp. 107-117). The high molecular weight hs-proteins were electrophoretically similar among the species. The more complex pattern of low molecular weight (15-27 kd) hs-proteins showed much more electrophoretic heterogeneity between species. Certainly a given soybean hs-cDNA clone showed greater cross hybridization to different soybean hs-poly(A) RNA's than to any hs-RNA from other species, and this limited hybridization with other species was consistent with the observed electrophoretic heterogeneity of the low molecular weight hs-proteins.

The evolutionary conservation of the hs-response across the spectrum of organisms from bacteria to man suggests an essential function(s) for the hs-proteins. Empirically, one function is to provide thermal protection or thermotolerance to otherwise non-permissive hs temperature (Schlesinger, M. et al. (1982) Heat shock from bacteria to man. Cold Spring Harbor Laboratory, Cold Spring Harbor, NY p.329). Apparently those hs-proteins which are synthesized at a permissive heat shock temperature allow organisms to continue the synthesis of hs-proteins and hs-mRNA's at still higher temperatures and to survive what would be normally lethal temperatures (Key. J. L. et al. (1982) In: Heat Shock from Bacteria to Man. M. J. Schlesinger, M. Ashburner and A. Tissieres, eds. Cold Spring Harbor Laboratory, Cold Spring Harbor, NY p.329). A permissive heat shock temperature is defined here as a temperature which is high enough to induce the heat shock response but not high enough to be lethal. Temperatures above break point temperature are lethal for Plants which have not acquired a thermotolerance. In soybean the break point temperature is about 40 °C. It has previously been shown that soybean seedlings survive incubation at a lethal temperature by prior incubation at a permissive hs-temperature (Key, J. L. et al. (1983) In: NATO Advanced Studies Workshop on Genome Organization and Expression in Plants. L. Dure, ed. Plenum Press).

Several different treatment regimes of permissive heat shock result in the development of thermotolerance in the soybean seedling. These treatments include: (a) a 1- to 2-hour continuous heat shock at 40°C followed by a 45°C incubation; (b) a 30-minute h at shock at 40°C followed by 2 to 3 hours at 28°C prior to the shift to 45°C; (c) a 10-minute heat shock at 45°C followed by about 2 hours at 28°C prior to

the shift to 45°C; and (d) treatment of seedlings with 50µM arsenite at 28°C for 3 hours or more prior to the shift to 45°C. The important feature which these treatments have in common is the induction of synthesis and accumulation of heat shock proteins prior to incubation at the potentially lethal temperature. In fact, it has been shown that both hs-mRNA and hs-protein synthesis do occur at 45°C if the seedlings had earlier been exposed to one of the conditions described above. A likely role(s) for the hs-proteins is to protect vital functions and structures (e.g., transcription, translation and the machinery of energy production) during heat shock and to permit normal functions to return rapidly when favorable temperatures are reestablished. It is known that recovery of normal mRNA and protein synthesis occurs rapidly when the temperature is shifted back to normal (e.g., 28°C) (Key, J. L. et al. (1981) Proc. Nat. Acad. Sci. USA 78:3526-3530; Schlesinger, M. J. et al. (1982) Trends Biochem. Sci. 1:222-225). The resumption of normal protein synthesis utilizes mRNA's conserved during heat shock as well as those newly synthesized during recovery, and there is no detectable synthesis of heat-shock proteins after 3-4 hours at the normal temperature. However, those heat shock proteins that were synthesized during the 40°C neat shock (recognized by the incorporation of 3H-leucine) are very stable during a subsequent chase in nonradioactive leucine, regardless of whether the chase is accomplished at 28°C or 40°C; approximately 80% of the label is retained in the heat shock proteins during a 20-hour chase.

The acquisition of thermotolerance appears to depend not only upon the synthesis of heat shock proteins but also on their selective cellular localization. In soybean seedlings, several hs-proteins become selectively localized in or associated with nuclei, mitochondria and ribosomes in a state that causes them to isolate in gradient-purified fractions of these organelles. Specifically, the complex group of 15-18 kilodalton hs-proteins selectively localize in these fractions during heat shock of soybean seedlings. The selective localization of hs-proteins is temperature dependent. The hs-proteins (except the 22-24 kd. hs-proteins which attach to the mitochondrial fraction) chase from the organelle fractions during a 4-hour incubation at 28 °C and they remain organelle associated during a chase at heat shock temperature. In addition, a second heat shock following a 4-hour 28 °C chase results in rapid (within 15 minutes) reassociation of hs-proteins with the organelle fractions. This association of heat shock proteins with nuclei could be explained by the hs-proteins becoming "chromatin proteins" or possibly a part of the matrix structure; both suggestions have been offered following localization studies in the Drosophila system (Arrigo, A. P. et al. (1980) Dev. Biol. 78:88-103). These findings are in basic agreement with autoradiographic results which localized hs-proteins to interband regions of polytene chromosomes (Velazquez, J. et al. (1980) Cell 20:679-689 and (1984) Cell 36:655-662).

Most of the heat shock work in plants has been done with etiolated seedlings, largely due to ease of manipulation. Heat shock proteins have not been extensively analysed in the green tissues of normal plants, but it has been shown that hs-mRNA's accumulate in green leaf tissue to levels similar to those of etiolated seedlings. Additionally, most experimental work has been done using a large temperature shift of about 10 °C. The response to such a non-physiological shift, however, is mimicked both at the level of hs-mRNA and hs-protein synthesis and accumulation, by a gradual increase from 28 °C to 47.5 °C in the case of soybean. Thus, the results from what may appear to be non-physiological experiments can be duplicated with etiolated seedlings and green plants under more normal physiological conditions of heat shock, which indeed probably occur in the normal plant environment.

#### Summary of the Invention

Four heat shock genes of soybeans have been cloned and sequenced. The heat shock promoter fragments of these four heat shock genes have been subcloned and have been genetically engineered into a T-DNA shuttle vector. These recombinant DNA fragments, i.e., a vector linked to a T-DNA shuttle vector containing a soybean heat shock gene promoter, can then be transferred with the aid of a helper plasmid into Agrobacterium tumefaciens where the recombinant DNA fragment is integrated into the Ti-plasmid. The T-DNA portion of the Ti-plasmid con then be transferred to a plant genome, thus allowing transformation of the plant.

Since the heat shock gene promoter is also transferred to the plant genome and is activated temporarily after a heat shock or stress treatment, it is useful to incorporate foreign genes into the recombinant DNA plasmids in such a position as to be expressible under the control of the heat shock gene promoter. Such incorporated foreign genes can be utilized to recognize plant cells transformed by T-DNA or can be activated temporarily. Such temporary activation is useful in the production of the crystalline toxin of Bacillus thuringiensis, the production of herbicide resistance or induction of resistance to pathogens.

Detailed Description of the Invention

Chromosomal DNA isolated from purified nuclei of soybeans was subjected to restriction enzyme analysis and southern blot hybridizations using cloned hs-cDNAs as hybridization probes. Six sets of cDNA clones which reflected members of "different" multigene families for hs proteins ranging from 15 to 27 kD (there are at least 30 hs proteins in plants within this size range) have been identified. In addition, one gene clone (hs6871) was isolated by use of cDNA clone 1968 as a probe. These groups are as follows:

Table 1

10		Classification of heat	shock gene families in soyt	eans"
,,	cDNA clone	Number of Clones by Hybrid Selection	Translation of hs mRNAs	Heat shock promoter fragment
	pFS2005*	13	15-18 kD hs proteins	hsp2005
	pFS2019**	1 1	18 kD hs protein	hsp2019
15	pCE53*	13	15-18 kD hs proteins	hsp53
	pCE75	8	15 kD hs proteins	hsp75
	pCE54	4(5)	27 kD hs proteins	hsp54
	pFS2033	3	20-24 kD hs proteins	hsp2033
20	hs6871 (gene clone)	1 1	173 kD hs protein	hsp6871

\* These and several other cDNA clones reflect members of the same multigene family.

A major effort has been devoted to isolating, restriction mapping, subcloning and sequencing genomic clones isolated from a  $\lambda$  1059 soybean library (constructed by the Agrigenetics Advanced Research Laboratory, Madison, Wisconsin), and to DNA sequence analysis of the cDNA clones described above.

Four subclones, namely pE2019, pL2005, pM2005 and hs6871 were generated from λ genomic clones and were sequenced. These four subclones represent three different gene families, i.e., cDNA clones 2005, 2019 and 1968. EcoRI fragments were selected on the basis of their homology to cDNAs from these genomic clones and were subcloned into pUC9. Sequence analysis was done on parts of these inserts, i.e., 858 nucleotides of pE2019 (Fig. 1), 971 nucleotides of pL2005, 944 nucleotides of pM2005, and 1536 nucleotides of hs6871, respectively. Sequence homology analysis has located the corresponding cDNA homology within each of the genomic subclone sequences. Cross-homology analysis showed substantial cDNA homology among the genomic subclones: (a) pE2019 corresponds to greater than 98% homology over the 340 bases of pFS2019 cDNA clone (b) immediately upstream from this 2019 homology is a region which shows over 90% homology to the pCE53 cDNA clone sequence of 350 bases. Therefore, sequence data and hybrid selection/translation data indicate that pCE53 is a member of the pFS2005 gene family (see Table 1 above) (c) Additionally, pFS2019 was found to be a 3'-nontranslated region of a member of the 2005 gene family. (d) Homology matrix analysis has shown that even cDNAs that do not cross hybridize (e.g., pCE75 and pFS2033) contained long stretches of greater than 50% homology with 70% homology over lengths of up to 40 nucleotides.

A 7 kilobase Hindlll fragment (H2) (Fig. 2) of the soybean genomic clone pE2019 that hybridizes to the cDNA 2019 has been identified. The pE2019 gene was mapped to the left terminal BamHI subfragment (designated BH - Fig. 2) of H2 since this region was shown to be the only portion hybridizing to the cDNA 2019 probe. This fragment was selected for sequencing studies and for introduction into the Ti-plasmid. Using the M13 kilodeletion strategy (Barnes, W. M. and M. Bevan (1983) Nucleic Acids Research 11:349-368) fragment BH has been sequenced and a series of deletion phages spanning this subfragment have been constructed. Of interest are several regions showing 78% homology with the Drosophila heat shock consensus sequence (CTxGAAxxTTCxAG) (Fig. 3) and the core (73%) of the SV40 base pair repeat (GGTGTGGAAAG) (Fig. 3).

The polarity of the transcript and the position of the cDNA homology on H2 (see Fig. 4 for coding sequence) demonstrated that the promot r to gen 2019 is situated on H2 about one hundred and ninety base pairs to the right of the BamHI site of subfragment BH. The polarity of the 2019 gene is 5'- to 3'- from one hundred and fifty seven nucleotides upstream from the leftmost BamHI sit of H2 toward the I ft HindIII site. This conclusion is based on the hybridization of M13 single stranded probes and S1 hybrid protection

May reflect also a "unique" cDNA clone to the same multigene family represented by pFS2005 and oCE53.

The expression of these heat shock gene families is induced following many other stress treatments, e.g., treatment with arsenite.

studies with soybean heat shock RNA. The 5'-termini of all three genes are positioned from 32 to 28 bases from the first T of a "TATA" motif (the TTAAATAC) suggesting that this region functions as the promoter. Northern blot analysis and S1 hybrid protection results using heat shock RNA showed that the transcript was from 680-900 bases in length excluding the poly(A) tail which was shown to be approximately 150 bases in length. Since the cDNA was less than full length (Ca. 350 bases) and was obtained by priming with oligo-dT, it therefore represents the 3'-portion of the transcript. Therefore, from the position of the cDNA homology on the BH fragment, the transcript must extend 3'- to 5'- from position 590 towards the BamHI site and beyond. This conclusion was confirmed by S1 hybrid protection mapping using 3'-labelled BH fragment. A protected band of 590 ± 10 base pairs was seen which agreed with the 3'-end of cDNA homology and showed that the 5'-terminus and promoter lay to the right of the BamHI site.

The coding sequence of clone pE2019 has been completed (Fig. 4). It consists of an open reading frame of 462 nucleotides. In addition, 291 nucleotides on the 5'-side (i.e., upstream) of the ATG translation initiation codon have been sequenced. These 291 nucleotides include all the essential elements of a promoter region, i.e., CAAT box, TAATA box and transcription initiation. In addition, there is a "consensus sequence" (131-144 nucleotides upstream from the ATG translation initiation codon with the sequence 5'-CTxGAAxxTTCxAG-3') which has been found in all heat shock genes and is required for heat induction (Pelham, H. R. B. and M. Bienz (1982) EMBO J. 11:1473-1477). If this consensus sequence has been deleted from the promoter region, then the heat shock gene is not induced following the stress of a heat shock or any other stress. Another sequence which has a high homology to the SV-40 enhancer sequence occurs at 172 to 185 nucleotides upstream from the translation initiation codon but, at present, the significance of this discovery is not obvious. A conserved sequence is present far upstream and this sequence is also found in an analogous position in two Drosophila heat shock promoters. Finally, the sequence of 731 nucleotides on the 3'-side (i.e., downstream) from the TGA stop codon has been elucidated (part of this sequence is shown in Fig. 5).

The coding sequences and flanking sequences of three other heat shock genes (i.e., clones pM2005, pL2005 and hs6871) have been determined. In the case of pM2005, an open reading frame of 423 nucleotides (Fig. 4) has been determined as well as 418 nucleotides upstream (and including all the promoter regulatory sequences described for pE2019 in the previous paragraph) from the ATG translation initiation codon (Fig. 3) and 171 nucleotides downstream from the TAA stop codon (100 nucleotides shown in Fig. 5). In the case of pL2005, an open reading frame of 450 nucleotides (Fig. 4) has been determined as well as 422 upstream (Fig. 3) (and including all the promoter regulatory sequences described for pE2019 in the previous paragraph) from the ATG translation initiation codon and 842 nucleotides downstream from the TAA stop codon (100 nucleotides shown in Fig. 5). In the case of hs6871, an open reading frame of 459 nucleotides (Fig. 4) has been determined as well as 456 upstream (Fig. 3) (and including all the promoter regulatory sequences described for pE2019 in the previous paragraph) from the ATG translation initiation codon and 943 nucleotides downstream from the TAA stop codon (100 nucleotides shown in Fig. 5).

These four heat shock genes have substantially homologous sequences in the coding regions (Fig. 4). In the upstream promoter regions (Fig. 3) the clones pE2019, pM2005 and pL2005 have substantially homologous sequences, but there are many differences between the nucleotide sequences of these three clones and that of hs6871. However, it should be noted that there are strong similarities between the "heat shock consensus sequences" of all four clones, i.e., CTxGAAxtACxxx (Fig. 3). The data for 100 nucleotides on the downstream of the stop codons for the four sequences are given (Fig. 5). It is obvious that very little sequence homology occurs. Significantly, the coding sequences, the upstream promoter regions (i.e., 5'- to the translational initiation codon) and the downstream flanking region (i.e., 3'- to the stop codon) of these four soybean heat shock genes have almost no resemblance to the corresponding regions of Drosophila heat shock genes (Hacket, R. W. and J. T. Lis (1983) Nucleic Acids Res. 11:7011-7030; Ingolia, T. D. and E. A. Craig (1982) Proc. Nat. Acad. Sci. USA 79:2360-2364; Southgate, R. et al. (1983) J. Mol. Biol. 165:35-67). Although there are similarities between the "consensus sequences" of the promoter regions from Drosophila and soybean heat shock genes, the promoter regions of soybean heat shock genes do not possess the inverted repeat sequences characteristic of the Drosophila genes.

The promoter regions of the soybean heat shock genes can be utilized in a number of ways whenever a transitory activation of a foreign gene or a soybean gene is required. [A foreign gene is herein defined as any gene normally found in the genome of any species other than a soybean.] For example, when the T-DNA from a wild type Ti-plasmid of Agrobacterium tumefaciens is transferred to a plant genome, then the resultant transformed plant cells are tumorous. Thes transformed tumorous plant cells in tissue culture cannot be used to regenerate intact whole plants. On the other hand, if a "disarmed" T-DNA region is used, then intact whole plants can be regenerated from transformed plant cells in tissue cultur, but it is difficult to differ ntiate between transformed and untransformed cells. In the present invention this difficulty is

overcome by placing the  $\beta$ -galactosidase gen of E. coli under the heat shock inducible control of a soybean heat shock gene promoter. This recombinant construction comprises the soybean heat shock promoter region and the coding region for the 24 codons at the 5'-terminus of the heat shock gene (see Example 5). This recombinant DNA fragment is the nointegrated into the T-DNA of a Ti-plasmid and used to transform plant cells. In the presence of a suitable substrate, transformed cells in tissue culture can then be differentiated from untransformed cells by the development of a blue color following a heat shock treatment. Thus the  $\beta$ -galactosidase-heat shock promoter combination is used as a means of recognizing transformed plant cells. This invention is not limited to the  $\beta$ -galactosidase gene example and will include other genes which could be useful in the recognition of specific plant cell types when such genes are placed under the control of a plant heat shock gene promoter. Such genes useful in the recognition of transformed plant cells are defined herein as transformation recognition genes.

In a second example, it will be useful to follow the protocol outlined above except that a gene which one wishes to be transitorily expressed is placed under the control of the soybean heat shock promoter. This recombinant construction comprises only the soybean heat promoter region, i.e., 159 nucleotide pairs extending from the Alul site (17 base pairs upstream from the ATG translation initiation codon) to the EcoRl site (176 base pairs upstream from the ATG translational initiation site) (see Example 7). If genes coding for insecticidal proteins (including but not limited to the crystalline endotoxin of Bacillus thuringiensis) are placed under the control of a plant heat shock gene promoter, then it will be possible to activate expression of the insecticidal proteins during the heat of the day. This period coincides with the eating period of insect larvae and thus confers insect resistance to the plant—but only for a limited, critical period during each day. Similarly, if a gene conferring herbicide resistance is placed under the control of a heat shock promoter, then it is possible to spray the fields with herbicide after the herbicide resistance gene has been activated during the heat of the day.

# Example 1: Plant material used and heat shock conditions

Soybean seeds (Glycine max variety Wayne) were germinated in moist vermiculite in the dark at 28°-30°C for 3 days. After this time plants were sprayed with 2 x 10<sup>-3</sup>M 2,4-dichlorophenoxyacetic acid, and mature hypocotyl tissue was harvested 24 hours later. The tissue was incubated in a buffer containing 1% sucrose, 1mM K-phosphate (pH 6.0), 50µg/ml chloramphenicol 10µg/ml 1,4-dichlorophenoxyacetic acid at 28°C (control) or at 40°C and 42.5°C (heat shock), respectively, for two hours unless stated differently.

# Example 2: Purification of poly(A)\* RNA and construction of cDNA recombinant clones

Total RNA was extracted from hypocotyl tissue after incubation (see Example 1), and poly(A)\* RNA was purified as described (Silflow, C. D. et al. (1979) Biochemistry 13:2725-2731) with modifications (Key, J. L. et al. (1981) Proc. Nat. Acad. Sci. USA 78:3526-3530). Poly(A) RNA from hs soybean hypocotyl was used as a template for oligo-(dT)-primed double stranded cDNA synthesis (Wickens, M. P. et al. (1978) J. Biol. Chem. 253:2483-2495; modified by Baulcombe, D. C. and J. L. Key (1980) J. Biol. Chem. 255:8907-8913). As a further modification, the synthesis of the first strand was unlabelled and 20µM [32P]dCTP (400 Ci/mM, Amersham) was used as a tracer for second strand synthesis by DNA polymerase I (Boehringer Mannheim). The S1-digested double stranded cDNA was size fractionated on a 10-30% sucrose gradient in 10mM Tris-HCl pH 7.5, 1mM EDTA and 100mM NaCl, run at 50,000 rpm for 6 hours at 20 °C in a Beckman SW 50.1 rotor. About 0.5µg double stranded cDNA (of length greater than 500 bp) was subjected to homopolymer tailing, adding poly(dC) to the 3'-ends of fragments by terminal transferase (Bethesda Research laboratories) (Roychoudhury, R. and R. Wu (1980) In: Grossman, L., Moldave, K., eds. Methods in Enzymol. Vol. 65; New York: Academic Press, pp. 43-62). An average length of 30 nucleotides/end was synthesized. In an analogous reaction 1µg of Pstl cut pBR322 was tailed with poly(dG) to the same extent. In an annealing reaction 0.7μg (dG)-tailed pBR322 and 0.14μg (dC)-tailed cDNA were used. Annealed molecules were used to transform Escherichia coli SK1590 (Kushner, S. R. (1978) In: Boyer, H. W., Nicosia, S. eds. Genetic Engineering, Amsterdam: Elsevier/North Holland Biomedical Press, pp. 17-23). Transformants were selected on tetracycline-containing medium, 99% of which carried recombinant plasmids as indicated by their TcR ApS phenotype.

# Example 3: Screening of a soybean genomic DNA library

High molecular weight DNA was isolated from purified nucl i essentially as described (Nagao, R. <u>t al.</u> (1981) DNA 2:1-9). Scre ning of a soybean genomic DNA library, cloned into the <u>EcoRI</u> site of a λ Charon

4A vector was carried out as described (Nagao, R. T. et al. (1981) DNA 1:1-9), using radioactively labelled insert prob s of cDNA clones which had been synthesized from poly(A)\* RNA of heat shock treated soybean hypocotyls (Schoffl, F. and J. Key (1982) J. Mol. Appl. Genet. 1:301-314).

#### Example 4: Restriction endonuclease digestion and subcloning of DNA fragments in pBR322

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Assay conditions for DNA digestions with the restriction endonucleases EcoRI, HindIII and PstI were as described (Maniatis, T. et al. (1982) Molecular cloning, a Laboratory Manual, Cold Spring Harbor Laboratory) and standard electrophoresis of DNA fragments on 1% agarose gels was also as described (Schoffl, F. and A. Puhler (1979) Genet. Res. Camb. 34:287-301). Ten μg/lane was applied for soybean chromosomal DNA digests and about 0.5μg/lane for plasmid or λ-DNA digests. Completion of digestion was tested for soybean chromosomal DNA by southern blot hybridization with soybean rDNA probes (kindly provided by Dr. R. Nagao, University-rof Georgia). Fragment sizes were generally determined by comparison with λ-DNA digests (EcoRI, HindIII, EcoRI/HindIII) run on the same gel. Subcloning of EcoRI/HindIII fragments of genomic soybean DNA into the respective sites of pBR322 was carried out as described (Maniatis, T. et al. (1982) supra). Potential recombinant clones were screened by sizing the cloned DNA fragments on agarose gels using restriction fragments of a standard heat shock gene (λhs68-7) as a reference. Specific clones were identified by Southern blot hybridization using cDNA probes of clone 1968 (Schoffl, F. and J. L. Key (1982) J. Mol. Appl. Genet. 1:301-314).

Example 5: Construction of a recombinant plasmid containing the β-galactosidase gene inserted into the coding region of soybean heat shock gene 2019

The starting material for this construction (defined here as a recombinant soybean heat shock gene) is the 7 kilobase (kb) HindIII fragment (H2) containing the promoter of heat shock gene 2019, the coding sequence of the same heat shock gene and a flanking sequence on the 3'-side of the reading strand of this coding sequence (referred to hereafter as hs2019) (Fig. 6). This H2 sequence is digested with the restriction endonuclease EcoRI and the products are separated by electrophoresis on agarose gel. The 1.78 kb HindIII-EcoRI which contains all the components of heat-shock gene 2019 is then inserted into plasmid pBR322 previously cleaved with HindIII and EcoRI. This recombinant plasmid (pBR322-hs2019) is then transformed into E. coli JM101 where it is amplified. Following amplification, pBR322-hs2019 is partially cleaved with BamHI and a Z gene (coding for \$-galactosidase) carrying polylinkers at both ends (Casadaban, M. J. et al. (1983) Methods Enzymol. 100:293-308) is inserted into the hs2019 BarnHl site. The polylinkers on each end of the Z-gene are previously cleaved with BamHI. It should be noted that the Zgene may also insert into the BamHI site of pBR322. Insertion at the two sites can be differentiated by restriction mapping. The BamHI site of the hs2019 gene is at codon 24 of the hs2019 coding region, and this construction thus maintains the coding region of the Z-gene inframe following the first 24 codons of the hs2019 gene. This recombinant soybean heat shock gene inserted into pBR322 is designated hereinafter as pBR322/SB13 (i.e., pBR322 with an hs2019 promoter-24 codons of hs 2019 coding sequence-Z-genehs2019 coding sequence-3'-flanking sequence). SB13 (referred to hereinafter as a recombinant soybean heat shock gene) can be recovered from pBR322/SB13 by cleavage with Hindlil and EcoRI followed by separation of the products by agarose gel electrophoresis.

Example 6: Incorporation of soybean hs-promoter-β-galactosidase-hs coding-hs3'-tail (i.e., SB13) into the Ti-plasmid of Agrobacterium tumefaciens

A T-DNA shuttle vector p233G comprising pBR322 and the T<sub>L</sub>-DNA of the Ti-plasmid of A tumefaciens was obtained from the Agrigenetics Advanced Research Laboratory, Madison, Wisconsin. This T-DNA shuttle vector (p233G) had been transformed into E. coli JM101. pBR322 is resistant to both ampicillin (amp') and tetracycline (tet'), but p233G is only amp' because the T<sub>L</sub>-DNA has been inserted into the tet' gene, thus destroying its activity (Fig. 7). Following amplification, p233G is purified and cleaved at the Smal restriction endonuclease site in transcript number 10 of T-DNA from A. tumefaciens strain 15955 (Barker, R. F. et al (1983) Plant Mol. Biol. 2:335-350). Bglll linkers are then added to this Smal site and digested with Bglll.

SB13, previously recovered by cleavage of pBR322/SB13 by HindIII and EcoRI, has overhanging singl stranded ends produced by the action of these restriction endonucleases. These overlaps are filled in by use of DNA polymerase I (Klenow fragment) and BgIII linkers are blunt end ligated (Fig. 7). SB13 is then digested with BgIII restriction endonuclease and the BgIII fragment is isolated by agarose gel elec-

trophoresis. It will be noted that a Bglll restriction site occurs 39 base pairs from the 3'-terminus of the hs2019 mRNA (Fig. 2) and that this Bgill site will therefore represent one end of the Bgill fragment. For this reason, the nomenclature of the SB13 fragment is alter d to SB13'. The Bglll fragment is then inserted into the linearized p233G, i.e., the T-DNA shuttle vector, resulting in plasmid p233G/SB13' (defined here as a co-integrated recombinant DNA fragment) and transformed into E. coli strain JM101. Following amplification, a triple mating is done using (1) a helper plasmid (pRK2013) in an E. coli strain, (2) the plasmid p233G/SB13' in E. coli JM101 and (3) strain 15955 of A. tumefaciens containing a Ti-plasmid (Fig. 8). Strain 15955 is resistant to streptomycin (str'). pRK2013 and the recombinant shuttle vector p233G/SB13' have replication origins which are functional in E. coli strains but not in A. tumefaciens. Thus a helper plasmid can be defined as a plasmid that promotes transfer of a normally non-transferable second plasmid from one bacterial strain to another. However, pBR322 has a mobilization site (mob) which is recognized by the transfer gene (tra) of pRK2013 so the recombinant shuttle vector p233G/SB13' can be transferred to A. tumefaciens. However, p233G/SB13' cannot replicate in A. tumefaciens, so its presence can only be stabilized by recombination (single crossover or double reciprocal crossover) with the resident Ti-plasmid. These three strains are mixed and incubated for 16 hour after which the recombinant resident Ti-plasmid (i.e., Ti-p233G/SB13') is selected by plating for 72 hours on a medium containing streptomycin and carbenicillin. Streptomycin selects the Agrobacterium and carbenicillin selects the pBR322. The recombinant Ti-p233G/SB13' promoter plasmid in A. tumefaciens strain 15955 can now be utilized.

The recombinant Ti-p233G/SB13' resident plasmid now contains the β-galactosidase producing gene (i.e., the Z-gene) under the control of the hs2019 heat shock promoter within the T-DNA of the Ti-plasmid in a stable form within A. tumefaciens strain 15955. Following infection of a plant or plant cell culture by the bacteria, the T-DNA can be transferred to the plant genome. Plant tissue or plant cells, which have been thus transferred, can then be recognized by the expression of the Z-gene (defined here as a transformation recognition gene) resulting in the production of a blue color after heat treatment in a medium containing 5-bromo-4-chloro-3-indolyl-β-D-galactoside (X-gal) (Miller, J. H. (ed.) (1975) Experiments in Molecular Genetics. Cold Spring Harb. Lab., Cold Spring Harbor, New York). Most important is that the expression of the blue color is only transitory.

Example 7: Isolation of the heat shock promoter from heat shock gene 2019 of soybeans and insertion of this heat shock promoter into T<sub>L</sub> DNA of plasmid p233G

Starting material for the isolation of gene 2019 heat shock promoter is the pUC8-derived clone BE250 (Fig. 2). The plasmid pUC8-BE250 contains the BamHI-EcoRI subfragment of H2 that includes the promoter and part of the coding region of the heat shock gene 2019. This plasmid is digested with restriction endonuclease Alul and the promoter-containing fragment is isolated (Fig. 1). The fragment extends 65 base pairs downstream from the start of transcription to include a major portion of the untranslated leader sequence, but not the start codon for translation. HindIII linkers are blunt-end ligated to the fragment and the ligation product is redigested with HindIII and BamHI before cloning into similarly digested pUC8. Heat shock promoters from all three isolated genes (E2019, M2005, L2005) are cloned in a similar fashion and designated hsprE2019, hsprM2005, and hsprL2005, respectively.

The plasmid p233G is linearized by digestion with Bglll and the resulting single-strand end filled in using the Klenow fragment of DNA polymerase I. A synthetic polylinker (5'-GAGATCTAAGCTTCTAGAC-3', double stranded) is ligated into p233G at the filled-in Bglll site. This polylinker contains the restriction sites of Bglll, Hindlll and Xbal endonucleases, and is used for insertion of both the BamHI/Hindlll-flanked promoter fragments and Hindlll/Xbal-generated coding region fragments. The coding region fragments can be obtained from any gene as long as the fragments contain no upstream ATG sequences other than the start codon for translation. The coding fragment must also contain an untranslated 3'-tail with a polyA addition site (AATAAA) for correct processing of the mRNA.

Such heat shock expression plasmids are then transformed into a strain of E. coli, e.g., JM101 or JM103, which will allow replication. Following amplification in such a host strain, the heat shock expression plasmids can be transferred to Agrobacterium strains which can then be used to transform plant cells as already described in Example 6.

Example 8: Construction and isolation of a heat shock promoter sequence from the heat shock gene clone phs6871

The isolation of a soybean h at shock gene and the insertion of this gen into pBR322 to give a recombinant plasmid phs6871 have been described (Schoffl, F. and J. L. Key (1983) Plant Mol. Biol. 2:269-

278). Following amplification in an E. coli K12 strain, the recombinant plasmid is purified and cleaved with a mixture of the restriction endonucleases Cfrl and Accl. If the first nucleotide A of the ATG translation initiation codon is numbered +1, th n cleavage with these two restriction endonucleases will produce a fragment covering the nucleotides -314 (i.e., 314 nucleotides "upstream" from the above-describ d A) (Fig. 9). Upstream is defined her as being in the 5'-direction and downstream is in the 3'-direction from the A nucleotide of the ATG translation initiation codon on the reading strand of the DNA. The fragment is then purified and the single stranded overhangs created by the restriction enzymes are blunt-ended by methods well known in the art (Maniatis T. et al. (1982) Molecular cloning - a laboratory manual. Cold Spring Harbor Laboratory). EcoRI linkers are then added to both ends of the fragment which is then cloned into the EcoRI site of M13mp9. Following transformation into E. coli JM103, the cloned fragment is amplified and single stranded templates corresponding to the reading strand of the heat shock gene are packaged and extruded into the media. These single stranded templates are recovered from the supernatant following removal of the bacterial host. A ten-fold excess of a previously constructed synthetic DNA primer mismatched in four base pairs (5'-TTTCCCGGGTCAGTCTTGTG-3') in the presence of the four deoxynucleotide triphosphates (one of which is radioactive) and DNA polymerase I (Klenow fragment) is now used to generate a modified double stranded DNA.

The four mismatched nucleotides CCGGG are indicated by underlines. The mixture is incubated for a sufficient period at 37°C to allow two full cycles of replication. The fragment containing the hs6871 promoter region is then isolated and purified following a mixed digestion with the restriction endonucleases EcoRI and Smal. The overhang generated by the EcoRI digestion is then blunt ended and the fragment (now with both ends blunt) is then blunt end ligated into the Smal site of p233G and the recombinant DNA plasmids are amplified following transformation into a suitable host. It will be noted that two full cycles of DNA replication starting from the mismatched synthetic DNA primer generates a Smal restriction site. The fragment containing the hs6871 promoter will be inserted in both orientations into the Smal site of p233G, but in both orientations a Smal site is regenerated downstream from the hs6871 promoter sequence and can be utilized for the insertion of foreign genes or soybean genes of interest. In particular, it should be noted that there is NO Smal site generated upstream from the hs6871 promoter region. As described in Example 7, the p233G constructs containing an inserted heat shock promoter hs6871 are defined as recombinant DNA plasmids. These recombinant DNA plasmids with foreign genes or soybean genes inserted are designated (as in Example 7) as heat shock expression plasmids. Transfer of heat shock expression plasmids into a plant genome is accomplished as described in Example 7.

#### Claims

- 35 1. A recombinant DNA plasmid comprising:
  - (a) a vector,
  - (b) a T-DNA fragment of a Ti-plasmid from an Agrobacterium strain, which T-DNA fragment is functional for insertion into a plant genome, and
  - (c) a fragment of plant DNA which is capable of controlling gene expression in response to heat shock, and which fragment is inserted into said T-DNA fragment such that said T-DNA fragment retains functionality for insertion into a plant genome, wherein said fragment of plant DNA comprises a plant heat shock promoter comprising the consensus nucleotide sequence 5'-C-T-X-G-A-A-X-X-T-A-C-X-X-3', wherein X is A, T, C or G.
- A recombinant DNA plasmid according to claim 1 wherein said promoter comprises the consensus nucleotide sequence 5'-C-T-S-G-A-A-M-R-T-A-C-W-M-K-3', where S is C or G; M is A or C; R is A or G; W is A or T; and K is T or G.
- A recombinant DNA plasmid according to claim 1 wherein said heat shock promoter is derived from soybean.
  - A recombinant DNA plasmid according to claim 3 wherein said heat shock promoter is a soybean heat shock promoter selected from the group consisting of hsp2005, hsp2019 and hsp6871.
- 55 5. A recombinant DNA plasmid according to claim 1 wherein said fragment of plant DNA is obtained from a soybean plant, the fragment thereof comprising the sequence

5'-T-A-C-A-T-

p. .

or being substantially homologous therewith.

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- 6. A recombinant DNA plasmid according to claim 1 wherein said fragment of plant DNA is obtained from a soybean plant, the fragment thereof comprising the sequence
  - 5'-T-A-C-A-T-
- or being substantially homologous therewith.
  - A recombinant DNA plasmid according to claim 1 wherein said fragment of plant DNA is obtained from a soybean plant, the fragment thereof comprising the sequence

or being substantially homologous therewith.

 A recombinant DNA plasmid according to claim 1 wherein said fragment of plant DNA is obtained from a soybean plant, the fragment thereof comprising the sequence

or being substantially homologous therewith.

- 35 9. A recombinant DNA plasmid according to any preceding claim wherein said vector is pBR 322.
  - 10. A recombinant DNA plasmid according to any preceding claim further comprising a structural gene under the control of said fragment of plant DNA, which structural gene is not a heat shock gene naturally found with said heat shock promoter.
  - 11. A recombinant DNA plasmid according to claim 10 wherein said structural gene is a foreign gene.
  - A recombinant DNA plasmid according to claim 11 wherein said foreign structural gene is a herbicide resistance gene.
  - A recombinant DNA plasmid according to claim 11 wherein said foreign gene is a Z-gene coding for β-galactosidase.
- 14. A recombinant DNA plasmid according to claim 11 wherein said foreign gene is a crystalline endotoxin gene.
  - 15. A recombinant DNA plasmid according to claim 14 wherein said crystalline endotoxin gene is a crystalline endotoxin gene of Bacillus thuringiensis.
- 55 16. A recombinant DNA plasmid according to claim 10 wherein said structural gene is a soybean gene.
  - 17. A method for modifying a plant genome so as to allow expression of a structural gene under control of a soybean heat shock gene promoter fragment, comprising the steps of:

- (a) isolating a soybean heat shock gene promoter fragment comprising the consensus nucleotide sequence 5'-C-T-X-G-A-A-X-X-T-A-C-X-X-X-3', wherein X is A, T, C or G,
- (b) cloning said soybean heat shock gene promoter fragment into a T-DNA shuttle vector producing a recombinant DNA plasmid,
- (c) isolating a DNA fragment carrying foreign structural genes or soybean genes not naturally expressed under the regulatory control of a soybean heat shock gene promoter, and inserting said DNA fragment into said recombinant DNA plasmid at a position on the 3'-side of said soybean heat shock gene promoter producing a heat shock expression plasmid, wherein said DNA fragment is oriented with respect to said soybean heat shock gene promoter as to be expressible under control thereof.
- (d) transforming said heat shock expression plasmid into a first bacterial strain capable of supporting replication of said heat shock expression plasmid.
- (e) mixing said bacterial strain capable of supporting replication of said heat shock expression plasmid with a second bacterial strain carrying a helper plasmid capable of transporting said heat shock expression plasmid into an Agrobacterium strain incapable of supporting replication of said heat shock expression plasmid, said Agrobacterium strain carrying a resident plasmid,
- (f) selecting for recombination between said heat shock expression plasmid and said resident plasmid giving a recombinant resident plasmid,
- (g) infecting a plant or a plant cell culture with said Agrobacterium strain containing and replicating said recombinant resident plasmid, and
- (h) selecting a plant or a plant cell culture comprising plant cells containing said foreign structural genes or said soybean genes under control of said soybean heat shock gene promoter transferred from said recombinant resident plasmid to said plant cells, said foreign structural genes or said soybean genes being expressed following heat shock treatment or other stress treatment.
- 18. A method according to claim 17 wherein said heat shock gene promoter fragment is as recited in any of claims 2 to 8.
- 19. A method according to claim 17 or claim 18 wherein the DNA fragment isolated in step (c) comprises a foreign gene according to any of claims 12 to 15.
  - 20. A method for producing a genetically modified plant cell, said method comprising
    - i) infecting a plant or a plant cell culture with an Agrobacterium strain containing and replicating a recombinant DNA plasmid according to any of claims 10 to 16, and
    - ii) propagating plant cells containing said structural gene under control of said fragment of plant DNA.

#### Patentansprüche

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- 40 1. Rekombinantes DNA-Plasmid, enthaltend
  - (a) einen Vektor,
  - (b) ein T-DNA-Fragment eines Ti-Plasmids aus einem Agrobacterium-Stamm, welches T-DNA-Fragment für die Insertion in ein Pflanzengenom funktionell ist, und
  - (c) ein Fragment einer Pflanzen-DNA, das imstande ist, die Genexpression als Reaktion auf einen Wärmeschock zu steuern, und das in dieses T-DNA-Fragment derart eingesetzt ist, daß das T-DNA-Fragment die Funktionalität zum Einsetzen in ein Pflanzengenom beibehält, wobei dieses Fragment der Pflanzen-DNA einen pflanzlichen Wärmeschock-Promotor enthält, der die Consensus-Nucleotidsequenz 5'-C-T-X-G-A-A-X-X-T-A-C-X-X-3' umfaßt, in welcher X für A, T, C oder G steht.
  - Rekombinantes DNA-Plasmid nach Anspruch 1, wobei der genannte Promotor die Consensus-Nucleotidsequenz 5'-C-T-S-G-A-A-M-R-T-A-C-W-M-K-3' umfaßt, in welcher S für C oder G, M für A oder C, R für A oder G, W für A oder T und K für T oder G steht.
- 55 3. Rekombinantes DNA-Plasmid nach Anspruch 1, wobei der genannte Wärmeschockpromotor von der Sojabohne stammt.
  - 4. Rekombinantes DNA-Plasmid nach Anspruch 3, wobei dieser Wärmeschockpromotor ein Sojabohnen-

Wärmeschockpromotor, ausgewählt aus der Gruppe hsp2005, hsp2019 und hsp6871, ist.

 Rekombinant s DNA-Plasmid nach Anspruch 1, wobei das genannte Fragment der Pflanzen-DNA aus einer Sojabohnenpflanze erhalten wird, deren Fragment die Sequenz

5'-T-A-C-A-T-

umfaßt oder im wesentlichen homolog damit ist.

Rekombinantes DNA-Plasmid nach Anspruch 1, wobei das genannte Fragment der Pflanzen-DNA aus einer Sojabohnenpflanze erhalten wird, deren Fragment die Sequenz

A-A-A-A-C-C-A-A-A-A-G-A-T-G-T-C-T-C-T-G-A-T-T-C-C-A-G-G-T-T-C-C-T-T-C-G-G-T-G-C-C-G-A-A-G-G-A-G-C-A-A-C-G-T-C-T-T-C-G-A-T-C-C-A-T-T-C-T-C-A-C-T-C-G-A-C-A-T-G-T-G-G-3 <sup>1</sup>

umfaßt oder im wesentlichen homolog damit ist.

4s 7. Rekombinantes DNA-Plasmid nach Anspruch 1, wobei das genannte Fragment der Pflanzen-DNA aus einer Sojabohnenpflanze erhalten wird, deren Fragment die Sequenz

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umfaßt oder im wesentlichen homolog damit ist.

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Rekombinantes DNA-Plasmid nach Anspruch 1, wobei das genannte Fragment der Pflanzen-DNA aus einer Sojabohnenpflanze erhalten wird, deren Fragment die Sequenz

#### 5'-A-G-A-C-C-

umfaßt oder im wesentlichen homolog damit ist.

- - 10. Rekombinantes DNA-Plasmid nach einem der vorhergehenden Ansprüche, das weiters ein Strukturgen

unter der Steuerung dieses Fragments der Pflanzen-DNA enthält, wobei dieses Strukturgen kein natürlicherweise mit diesem Wärm schockpromotor gefundenes Wärmeschockgen ist.

- 11. Rekombinantes DNA-Plasmid nach Anspruch 10, wobei das genannte Strukturgen ein Fremdgen ist.
- 12. Rekombinantes DNA-Plasmid nach Anspruch 11, wobei dieses Fremdstrukturgen ein Herbizidresistenzgen ist.
- 13. Rekombinantes DNA-Plasmid nach Anspruch 11, wobel dieses Fremdgen ein Z-Gen ist, das für β10 Galctosidase codiert.
  - Rekombinantes DNA-Plasmid nach Anspruch 11, wobei dieses Fremdgen ein Gen für kristallines Endotoxin ist.
- 15. Rekombinantes DNA-Plasmid nach Anspruch 14, wobei dieses Gen für kristallines Endotoxin ein Gen für ein kristallines Endotoxin von Bacillus thuringiensis ist.

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- 16. Rekombinantes DNA-Plasmid nach Anspruch 10, wobei das genannte Strukturgen ein Sojabohnengen ist.
- 17. Verfahren zur Modifizierung eines Pflanzengenoms, um Expression eines Strukturgens unter der Steuerung eines Sojabohnen-Wärmeschock-Promotorfragmentes zu gestatten, bei welchem Verfahren folgende Schritte vorgesehen sind:
  - (a) Isolierung eines Sojabohnen-Wärmeschock-Genpromotorfragmentes, das die Consensus-Nucleotidsequenz 5'-C-T-X-G-A-A-X-X-T-A-C-X-X-X-3' umfaßt, worin X für A, T, C oder G steht,
  - (b) Klonierung dieses Sojabohnen-Wärmeschock-Genpromotorfragmentes in einen T-DNA-Shuttle-Vektor zur Gewinnung eines rekombinanten Plasmids,
  - (c) Isolierung eines DNA-Fragmentes, das die Fremdstrukturgene oder die Sojabohnengene, die natürlicherweise nicht unter der regulierenden Steuerung eines Sojabohnen-Wärmeschock-Genpromotors exprimiert werden, trägt, und Einsetzung dieses DNA-Fragmentes in das genannte rekombinante DNA-Plasmid an einer Stelle an der 3'-Seite dieses Sojabohnen-Wärmeschock-Genpromotors, wodurch ein Wärmeschock-Expressionsplasmid gebildet wird, wobei dieses DNA-Fragment Im Hinblick auf den genannten Sojabohnen-Wärmeschock-Genpromotor so orientiert ist, daß es unter der Steuerung desselben exprimierbar ist,
  - (d) Transformierung dieses Wärmeschock-Expressionsplasmids in einen ersten Bakterienstamm, der imstande ist, Replikation dieses Wärmeschock-Expressionsplasmids zu unterhalten,
  - (e) Mischen dieses zur Unterhaltung der Replikation dieses Wärmeschock-Expressionsplasmids befähigten Bakterienstammes mlt einem zweiten Bakterienstamm, der ein Helferplasmid trägt, das zum Transport dieses Wärmeschock-Expressionsplasmids in einen Agrobacterium-Stamm befähigt ist, der seinerseits die Replikation dieses Wärmeschock-Expressionsplasmids nicht unterhalten kann, wobei dieser Agrobacterium-Stamm ein residentes Plasmid trägt,
  - (f) Selektion auf Rekombination zwischen diesem Wärmeschock-Expressionsplasmid und diesem residenten Plasmid, die ein rekombinantes residentes Plasmid ergibt,
  - (g) Infektion einer Pflanze oder einer Pflanzenzellkultur mit diesem Agrobacterium-Stamm, der dieses rekombinante residente Plasmid enthält und repliziert, und
  - (h) Selektion einer Pflanze oder einer Pflanzenzellkultur, die Pflanzenzellen enthält, die diese Fremdstrukturgene oder diese Sojabohnengene unter der Steuerung dieses aus dem rekombinanten residenten Plasmid in diese Pflanzenzellen übertragenen Sojabohnen-Wärmeschock-Genpromotors enthält, wobei diese Fremdstrukturgene oder diese Sojabohnengene im Anschluß an die Wärmeschockbehandlung oder eine andere Stressbehandlung exprimiert werden.
- Verfahren nach Anspruch 17, wobei dieses Wärmeschock-Genpromotorfragment eines ist, das in einem der Ansprüche 2 bis 8 genannt wurde.
- 55 19. Verfahren nach Anspruch 17 oder Anspruch 18, wobei das in Stufe (c) isolierte DNA-Fragment ein Fremdgen nach einem der Ansprüche 12 bis 15 enthält.
  - 20. Verfahren zur Herstellung einer genetisch modifizierten Pflanzenzelle, bei welchem

- (i) eine Pflanze oder eine Pflanzenzellkultur mit einem Agrobacterium-Stamm infiziert wird, der ein rekombinantes DNA-Plasmid nach ein m der Ansprüche 10 bis 16 enthält und repliziert, und
- (ii) Pflanzenzellen vermehrt werden, die das genannte Strukturgen unter der Steu rung des genannten Fragmentes der Pflanzen-DNA enthalten.

#### Revendications

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- 1. Plasmide à ADN recombinant comprenant:
  - (a) un vecteur,
  - (b) un fragment d'ADN-T d'un plasmide Ti provenant d'une souche d'Agrobacterium, lequel fragment d'ADN-T est fonctionnel pour insertion dans un génome végétal, et
  - (c) un fragment d'ADN végétal qui est capable de réguler l'expression de gènes en réponse à un choc thermique, et lequel fragment est inséré dans ledit fragment d'ADN-T, de manière que ledit fragment d'ADN-T conserve sa fonctionnalité pour insertion dans un génome végétal, ledit fragment d'ADN végétal comprenant un promoteur de choc thermique végétal comprenant la séquence nucléotidique consensus (ou conservée) 5'-C-T-X-G-A-A-X-X-T-A-C-X-X-3', X étant A, T, C ou G.
- Plasmide à ADN recombinant selon la revendication 1, dans lequel ledit promoteur comprend la séquence nucléotidique consensus 5'-C-T-S-G-A-A-M-R-T-A-C-W-M-K-3', S étant C ou G, M étant A ou C, R étant A ou G, W étant A ou T et K étant T ou G.
  - 3. Plasmide à ADN recombinant selon la revendication 1, dans lequel ledit promoteur de choc thermique provient du soja.
- 25 4. Plasmide à ADN recombinant selon la revendication 3, dans lequel ledit promoteur de choc thermique est un promoteur de choc thermique de soja choisi parmi hsp2005, hsp2019 et hsp6871.
  - 5. Plasmide à ADN recombinant selon la revendication 1, dans lequel ledit fragment d'ADN végétal est obtenu à partir de la plante soja, le fragment de celui-ci comprenant la séquence

A-A-A-G-T-T-A-C-C-T-G-T-T-T-G-C-G-A-T-C-T-C-A-T-T-A-C-A-A-T-C-T-C-C-C-C-T-A-G-T-T-T-C-T-A-A-T-C-T-C-A-G-3'

ou étant pratiquement homologue à celle-ci.

6. Plasmide à ADN recombinant selon la revendication 1, dans lequel ledit fragment d'ADN végétal est obtenu à partir de la plante soja, le fragment de celui-ci comprenant la séquence

5'-T-A-C-A-T-

G-G-T-G-T-G-G-\lambda-A-T-T-C-\lambda-\lambda-C-C-\lambda-\lambda-\lambda-T-T-G-C-\lambda-\lam

ou étant pratiquement homologue à celle-ci.

20 7. Plasmide à ADN recombinant selon la revendication 1, dans lequel ledit fragment d'ADN végétal est obtenu à partir de la plante soja, le fragment de celui-ci comprenant la séquence

5'-A-G-A-C-C-

ou étant pratiquement homologue à celle-ci.

45 8. Plasmide à ADN recombinant selon la revendication 1, dans lequel ledit fragment d'ADN végétal est obtenu à partir de la plante soja, le fragment de celui-ci comprenant la séquence

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5'-A-G-A-C-C-

25 ou étant pratiquement homologue à celle-ci.

- 9. Plasmide à ADN recombinant selon l'une quelconque des revendications précédentes, dans lequel ledit vecteur est pBR322.
- 30 10. Plasmide à ADN recombinant selon l'une quelconque des revendications précédentes, comprenant en outre un gène structural sous le contrôle dudit fragment d'ADN végétal, lequel gène structural n'est pas un gène de choc thermique rencontré à l'état naturel avec ledit promoteur de choc thermique.
- Plasmide à ADN recombinant selon la revendication 10, dans lequel ledit gène structural est un gène étranger.
  - 12. Plasmide à ADN recombinant selon la revendication 11, dans lequel ledit gène structural étranger est un gène de résistance à un herbicide.
- 40 13. Plasmide à ADN recombinant selon la revendication 11, dans lequel ledit gène étranger est un gène Z codant pour la β-galactosidase.
  - 14. Plasmide à ADN recombinant selon la revendication 11, dans lequel ledit gène étranger est un gène d'endotoxine cristalline.
  - 15. Plasmide à ADN recombinant selon la revendication 14, dans lequel ledit gène d'endotoxine cristalline est un gène d'endotoxine cristalline de Bacillus thuringiensis.
- 16. Plasmide à ADN recombinant selon la revendication 10, dans lequel ledit gène structural est un gène de soja.
  - 17. Méthode pour la modification d'un génome végétal, de manière à permettre l'expression d'un gène structural sous contrôle d'un fragment promoteur de gène de choc thermique de soja, comprenant les étapes suivantes:
    - (a) isolement d'un fragment promoteur de gèn de choc thermique d soja comprenant la séquenc nucléotidique consensus 5'-C-T-X-G-A-A-X-X-T-A-C-X-X-3', X étant A, T, C ou G,
      - (b) clonage dudit fragment promoteur de gêne de choc thermique de soja dans un vecteur navett à ADN-T, pour l'obtention d'un plasmide à ADN recombinant,

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- (c) isolement d'un fragment d'ADN portant des gènes structuraux étrangers ou des gènes de soja non exprimés, naturellement sous le contrôle régulateur d'un promoteur de gène de choc thermique de soja, et insertion dudit fragment d'ADN dans ledit plasmide à ADN recombinant, en une position du côté 3' dudit promoteur de gène de choc thermique de soja, pour l'obtention d'un plasmide d'expression de choc thermique, dans lequel ledit fragment d'ADN est orienté, par rapport audit promoteur de gène de choc thermique de soja, de manière à être exprimable sous contrôle de celui-ci.
- (d) transformation dudit plasmide d'expression de choc thermique dans une première souche bactérienne capable de supporter la réplication dudit plasmide d'expression de choc thermique,
- (e) mélange de ladite souche bactérienne, capable de supporter la réplication dudit plasmide d'expression de choc thermique, avec une seconde souche bactérienne portant un plasmide auxiliaire capable de transporter ledit plasmide d'expression de choc thermique dans une souche d'Agrobacterium incapable de supporter la réplication dudit plasmide d'expression de choc thermique, ladite souche d'Agrobacterium portant un plasmide résidant,
- (f) sélection pour recombinaison entre ledit plasmide d'expression de choc thermique et ledit plasmide résidant, pour l'obtention d'un plasmide résidant recombinant,
- (g) infection d'une plante ou d'une culture de cellules végétales avec ladite souche d'Agrobacterium contenant et répliquant ledit plasmide résidant recombinant, et
- (h) sélection d'une plante ou d'une culture de cellules végétales comprenant des cellules végétales contenant lesdits gènes structuraux étrangers ou lesdits gènes de soja sous contrôle dudit promoteur de gène de choc thermique de soja, transféré dudit plasmide résidant recombinant auxdites cellules végétales, lesdits gènes structuraux étrangers ou lesdits gènes de soja étant exprimés à la suite d'un traitement de choc thermique ou autre traitement de contrainte.
- 18. Méthode selon la revendication 17, dans lequel ledit fragment promoteur de gène de choc thermique est tel que décrit dans l'une quelconque des revendications 2 à 8.
  - 19. Méthode selon la revendication 17 ou 18, dans lequel le fragment d'ADN isolé dans l'étape (c) comprend un gène étranger selon l'une quelconque des revendications 12 à 15.
  - 20. Méthode pour la production d'une cellule végétale modifiée génétiquement, ladite méthode comprenant i) l'infection d'une plante ou d'une culture de cellules végétales avec une souche d'Agrobacterium contenant et répliquant un plasmide à ADN recombinant selon l'une des revendications 10 à 16, et ii) la propagation des cellules végétales contenant ledit gène structural sous contrôle dudit fragment d'ADN végétal.

Figure 1.	Restriction	on sites a	nd sequence	of pE2C19	I
130	140	150	160	170	180
ATGATGATGAAAA	ATGGAAAAACC	TACTAATGTA	TTTATGAATAA	ITGTCCAGAA	GTGGAA
190	200	210	220	230	240
GAAAAATAAATATA Mboi	NATGATGTGTA	GTAAACAAGA	ACCTTCGTACA RsaI		GAATTC EcoRI EcoRI' EcoRI'
"CA1			census		200
250 *	260 *	270 *	280	290 *	300 *
AACCAAATTGCAAA EcoRI*	NAAGTAGGATT Eco		ATACAAGATTA	TCCTTTCACT	TTCCTT : ·
"TATA" box	320	330	340	350	360
TAAATACCTCGCGT	*	*	*	*	*
Ahalii Thai	MnlI	transcript	MnlI	MboII	TTTGCG MboI Sau3A
370	380	390	400	410	420
ATCTCATTACAATC	CTCCCTAGTTT	DdeIA		* star CAAAAGATG	
430	440	450 *	460 *	470 *	480
ATTCCAGGTTTCTT BstNI EcoRII MboII ScrFI		AGGAGCAACG I MboI	TCTTCGATCCA MboI Sau3A TaqI	TTCTCACTCE Tac	
490	500	510	520	530	540
TGGGATCCCTTCAA BamHI EcoRI' MboI Sau3A	AGGATTTCAT( EcoRI'	STTCCCACTTO MboII	сттстбтттст		RI'

	550 *	560 *	570	590	590 *	60C							
TTCGTGA	GCACTCG Hg1AI	TGTGGATTGG	AAGGAGACCC Mn1 I	CAGAGGCACAC	GTGTTCAAG	GCTGAT							
	610	620 *	630	640	650 *	660							
ATTCAAGGGCTGAAGAAAGAGGAAGTCAAGGTTCAGATTGAAGATGATAGGGTTCTTCA Mn11 Mboll Mboll Mboll Mboll													
1	670	680 *	690 *	700	710	720							
ATTAGCG Mn11		GAACGTTGAA	VAAGGAAGACA	AGAACGACACG MboII		GTGGAG aISfaNI							
	730	740	750 *	760 *	770	780 *							
CGTAGCA	GTGGTAA	GTTCACGAGA	VAGGTTCAGAT	TGCCGGAGAAT HpaII	GCAAAAGTG	AATGAA							
	790 *	800	810	820 ·	830	840							
GTGAAGG	CTTCTAT	GGAAAATGGG	GETTCTCACTG	TCACTGTTCCT Dd Mn Ms	eI	GTTAAG							
	850	860	870	880 top *	890	900							
AAGCCTG MboII	ATGTTAA	GGCCATTGAA HaeIII	VATCTCTGGTT EcoRI' M	GATCCATGTTA bol au3A	TGGTTGAAA	ATCGTG							
	910	920	930	940	950	960							
AGCTTAT AluI			VAGTGTCTTCT	GTCTTGTGTGC	CTTTGAGAA MboII	AAATCT							
TCCATGO	970 * CATGCATT SphI	GT		·									

FIG. 2

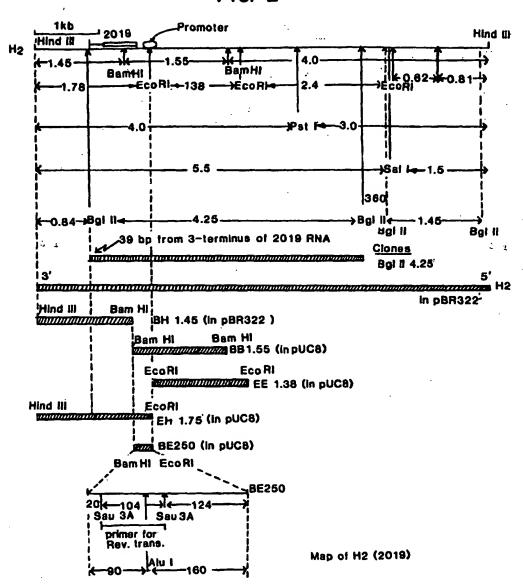


Figure 3.

Nucleotide sequences of pE2019, pM2005, pL2005 and hs6871 heat shock gene promoters  $\,$ 

PM2005 p12005 p12006 p12005 p1
pL2005         T T G T G G G C A C T T T T T G A T G T - T T T T G T T T A A G T T A C T G AS G T C T T T A A A A T A C G A T G T I T A A G T T A C T A G A T C T C A C T C T T T A A A A T A C G A T G T I T T A C T T A T A A A PL2005         A T A T T T C A G A A T T T A T A G G T A - C A A A G A T T T A A A A A A A A A A A A
PL2005
PM2005   A A A G G A T G G T G A A T A T A G C A A A A G C C T A T T T A T G A A C G PL2005   A A T A A T A T T G A T T A A A T G A T A T
pM2005         A T A T C A A C C A G A A C T A G A A C A A G A A A A A A T A A A T G C A C T pt2005         T A T A T A T A T A T A T C T A G - A A G G T T G T A G A A G A C T A G C T hs6871         T A A C C A A T G T C T G G T T A A G A T G G T C C A A T C C C G A A A C T           pE2019         A G A A A A A A A A A A A A T A T A A A T - G A G G T G T A G A A G T C C A G A A G T T Pt2005         A G A A C C T T C G T A C A C G G G A G T G G A G A A G T C C T G A A G T - hs6871         T C T A G T T G C G T A C A C G G G A G T G T G G A G A A G T C C T G A A G T - hs6871         T C T A G T T G C G G T T C G A A G A A G C C A G A A T T C C A G C A A A C C A A A C C A A PM2005         T T A T A G A A T C A T T T G A A A C T G G T A A A A C C A A PM2005         T T A T A G A A T C A T T T G A A A C T G G T A A A A C C A A hs6871         G T T T C A G A A T C A T C T A A A A C T G C T A A A A T A G C A A A C C A A hs6871         G T T T C A G A A A A T T C T A G T T T T T G A G A T T T T T C A G A A G T A C         A A G T A C C A T A A C C A A A A C T G C T A A A A T A T T C T A G A A G T A C         A A G T A C C C C A A G A C G T A C A C T T T T T T T T T T T T T T T
PM2005
PM2005
PM2005
hs6871 CTATATTGCTCCTCTACATCATTTTAAATACCCCATGTGT pE2019 CGTATCCCCTTCGTCCTCGTCAAACGAAGACA-AAA pM2005 ATTAGTCAGAAAAACGAAACGAAACAAGAGTTACA-A pL2005 - TCCCCATTGACTCAACAAAAAAAAAGAGTTACAGA
PM2005 ATTAGTCAGAAAACGAAACGAAAGAGAGATTACA-A PL2005 - TCCCCATTGACTCATCAAACAAGAGAGATTACAGA

Fig. 3 (cont'd.)

```
PE2019 A G T T A C C T G T T T G C G A T C T C A T T A C A A T C T C C C T A G T T PM2005 A G T T A C C T G T A T A C G A T C T C A T T T T G A T C T C C C A A G T T PL2005 A - T T T C C T G T T T A C G A T C T C A T T T A C A A T T T T G C A A C T T hs6871 T T A G C A G T T T T G T A G A T T C A A C C T C A A T T T G C A G A G T T P F C A G C T A A G A A A C C T C A A T T T G C A G A G T T P F C A G C T A A G A A A A C C T C A A A T C A A A A G A T G PM2005 T C A A A G C T T A T T A G C - - - T A A A G T A A C A T C A A A A G A T G hs6871 A C G T T C T A A T A T A T T T A C A C A A G A C T G A T A A G A G A A A A T G
```

FIG. 4

Nucleotide sequences of pE2019, pM2005, pL2005 and hs6871 heat shock gene coding sequences

pE2019	IA TELT CTICTELA TTICCALE STITTCITTCIESTIESCICS Met Ser Leu lle Pro Sly Phe Phe Gly Sly An	AAA G G  Baa
pM2005		1 1
pL2005	A T      A TA T      C   Ser   Ite   Pr	
hs6871		1
pE2019	IA G CIA A CIG T CIT T CIG A TIC C AIT T CIT C AIC T CIG A CIA T Ser Asn Val Phe Asp Pro Phe Ser Leu Asp Me	GIT G GI t Tre
pH2005	Ser Ash Val Pha Asp Pro Pha Ser Leu Asp Re	
pL2005	1 1 6 1 1 1 1 1 1	i I
hs6871	6 T  T    C  T    C    T[6	, 1 1
•	Santi	•
pE2019	IS A TIC C CIT T CIA A GIS A TIT T TIC A TIS T TIC C CIA C TIT C	TIT C TI
breata	Asp Pro Phe Lys Asp Phe His Val Pro Thr Se	r Ser
pM2005		CT
pL2005		1 1
hs6871	c	CT  Leu
pE2019	IS TITE TIS CITIS A AIA A TITE TIS CAIT TEIS TEIA S CIAC	
pH2005	Yal Ser Ala Glu Asn Ser Ala Phe Yal Ser Th	ir Arg
pL2005	Asn I I I I I I I A I	Al I
hs6871	*De1       A  G  T    Asn	Al Al
pE2019	IS TOSE A TITE GIA A GIS A GIA C CIC C AIG A GIS C AIC A CIS T Val Asp Trp Lys Glu Thr Pro Glu Ala His Va	BITTCI Dhe
pH2005		1 1
pl.2005		C
hs6871	1 1 1 1 A GT A A I I	l leu

# FIG. 4(cont'd.)

pE2019			TIC C AIG 6				
pM2005	Lys Ala	a Asp II	e Pro 61	y Leu Ly	ys Lys 6   G .	י הול (Blu	e . A9j
pL2005	610	1 1	1 1	1 1	1 1	1 1	6
hs6871	1 1	1 1	1 1	l 1	6	1 1	6
pE2019	A A G G T		T GAA GA e Glu As				T TI
p#2005	1 1	1 1	1 1	1 1	1	1 1	ΑĮ
pL2005	1 1	1 1	1 1	1 1	1 1	1 1	ł
hs6871	C	G G   u G1u	JC   Gln	G C  Gly	Al I	1 1	AL
			, <del>-</del>				
pE2019	IA 6 CIG 6		GIAACIG T	TIGAAJA/	A GIG A AIG VS 61u A		A C  Asd
pM2005	1 1	1 " 1 "	l Ic	[ 6]	/ <sup>3</sup>   ""   ^	36   238	1
pL2005	1 1	1 1	1 1	[] 6]	1 1	1 1	1
hs6871	1 1	1 1	1	1 1	AL I	1 1	T
pE2019	GACAC		TIC G CIG T				
pM2005	1 1	`   `` <b>"</b>   ```	_	"   <b>""  </b> "		cl cl	Lys C
pL2005	1 1	1 1	1 1	1 01	1 1	[ A[	Asn 
hs6871	1 1	1 1	1 4	Asp	T[	i ei	ſ
pE2019	TTCAC		GITTCIAG 9 Phe Ar				
p#2005	1 1 1	6	g Phe Ar	g Leu Pi	ro 61u A	sn Ala i	Lys
pL2005	Met		1 1	1 1	AJ J	1 1	Ţ
hs6871	Met j G G T Leu Va	6	[ T]	1 1	1 1	j tj	1

# FIG. 4(cont'd.)

```
IG T GIA A TIG A AIG T GIA A GIG C TIT C TIA T GIG A AIA A TIG G GIG T TI
p€2019
                        Asn bi
a G|C |
Glu Gln
|C A| T |*Del |
                        Asn Glu Val Lys Ala Ser Met Glu Asn Gly Val
                Val
pM2005
pL2005
                        G CC
hs6871
            |C T C|A C T|G T C|A C T|G T T|C C T|A A G|G A A|G A G|G T T|A A G|A A G|

Leu Thr Val Thr Val Pro Lys Glu Glu Val Lys Lys

| A| T|C T C|A C A|G T T|T A C|C G T|G C C|C A A|A G A|A G A|

Leu Thr Val Tyr Arg Ser Gln Arg Arg

| | | T| | A| | A| | A| | A| | A|G A|A
pE2019
pM2005
pL2005
                                                                                                                               Glu
                                                         He
                                       · A
hs6871
             CCTIGATIGTTIA A GIGCCIATTIGA AIATCITCTIGGTITGAITCCI
Pro Asp Val Lys Ala Ile Glu lle Ser Gly stop

[G G T|T A A|G A A|
Gly stop

[A G T|C T G|A T G|T T A|A G C|C T A|T A A|G A A|

Ser Leu Mat Leu Ser Leu ston
pE2019
pM2005
pL2005
                                    Met
                                              Leu
                                                       Ser
                                                                 Leu
                                                                           stop
                          Leu
                 Ser
                                                                                 ĊĮ
                                                                                                                          A
hs6871
```

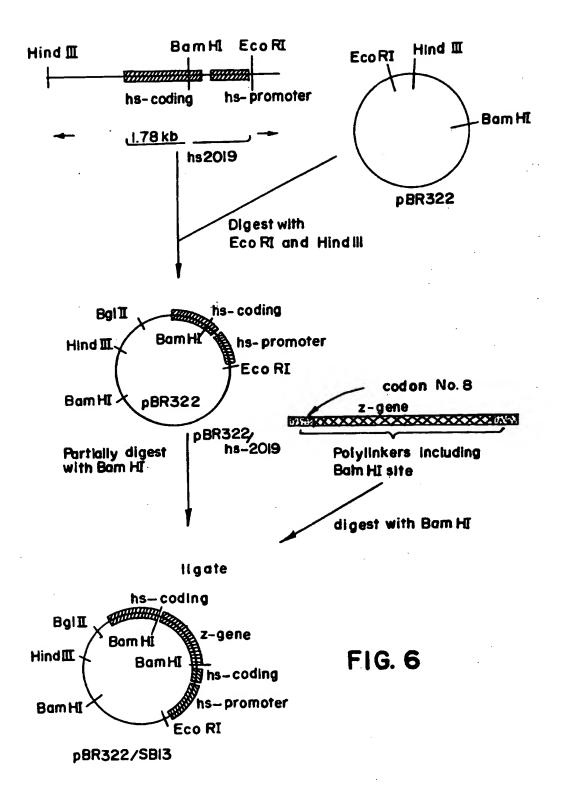
Note: Blank spaces indicate identity with the nucleotide sequence or the amino acid sequence.

\*Del - indicates a deletion of a codon(s).

# FIG. 5

Nucleotide sequences of the regions downstream (i.e., 3'- to the stop codon) from the coding sequences of p£2019, p£2005, p£2005 and hs6871.

pE2019 pH2005 pL2005 hs6871	T	Ā	A	6	A	A	G	G	C	C	T	6 T	Ā	T	G	T	T	A	A	G	B	C	C	A	T	T	G	A	Ā	A	T T	C	T	C	Ť	G	T G T T	T
pE2019 pM2005 pL2005 hs6871	T	Ā	Ā	Ā	C	T A	T	6 C	T	T	6	T	T	A	C	Ť	T	A T	Ā	A	Ā	Ţ	C	G	T	G	Ā	G	C	Ť	T	G	C	T	Ť	G	T T G	6
pE2019 pH2005 pL2005 hs6871	T	A	T	A T	Ā	C	T	A T	A T	T 6	A T	T A 6 6	6 T	T	A C	T C	T	C	T	C	6	T	6	A	T	G	T											



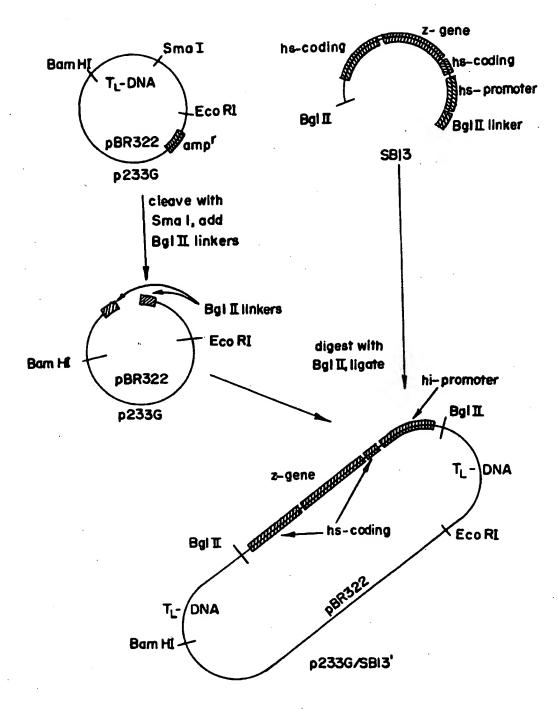


FIG. 7

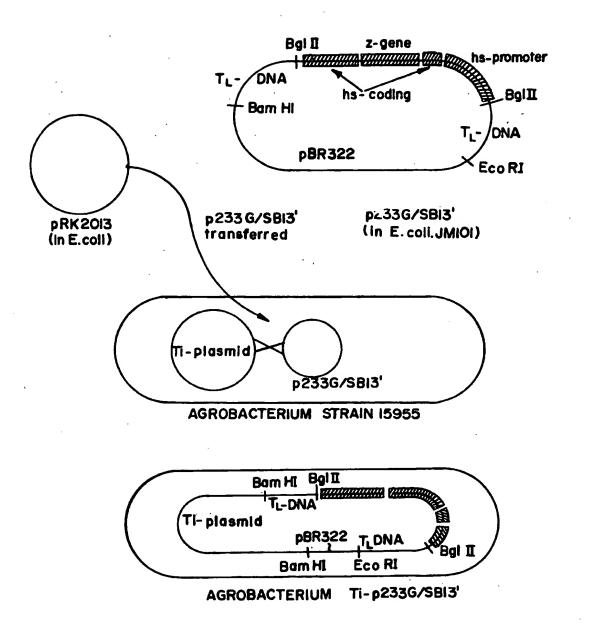


FIG. 8

FIG. 9 Restriction sites and sequence of hsp6871

											+75	+150	+225	+300	+375	+450
•	5		₹		ş		E		3	¥	\$ 3	. 55 5	ATE	<b>\$8</b>	A) A) B	8 28
	SATA		757		TCCT		GAGA		AGA	AGAG	72		200	25	58	ATA
<b>8</b> -	TCTA	184	TCAC	284	8	룕-	E	<b>8</b> 4.	E	584 TGATA	Val 6T6		38	58	<b>ST9</b>	A C C C
	***************************************	-	TATC	2	TAGA	m	CTAG	4	втсс	SACT	A Sp		38	Ęg	53	RS.
	TCGAGAAAAAATTCATTATATTATTGATATAAAATATTCATTAATTTTATCAATAATTAAT		ATCC		ATACGATGTTTACTTATTAGACTCATTAATAAAAAAAAAA		CAATETCTEGITAAGATEGICCAATCCCGAAACTTCTAGTTGCGGTTCGAAGAAGCCAGAATGTTTCTGAAÁGTTTCAGAAAATTCTAGITTTGAGATTT		TCAGAAGTACGGCATGATGATGATACAAGGACTTTCTCGAAAGTACTATATTGCTCCTCTACATCATTTTAAATACCCCATGTGTCCTTTGAAGACAC	id 504 514 524 534 544 554 564 574 584 ATTERBEBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB	35		Va] GTG	Asp	88	ra F
4.	TATA	174	ACTC	274	<b>3999</b>	374	88	474	ည္သ	574	နှင့်		38	AST	Yal	SA ST
	TAT		2		ETA		E		MATA	TATA	a E	val GTG	288	<b>\$</b> 5	<b>\$</b> {	33
<b>3</b> -	Ě	164	<b>T</b> &6	264	28	₹-	<b>S</b>	464	È	564	ខ្ពង		<b>58</b>	88	₹5	28
	¥	~	¥.	∾.	TA M	•	1	•	2		88	A) 8	\$ <b>§</b>	€8 8	\$ F	\$2
	ATA		TAT	_	25		ifen		TAC.	T P	룵	FE	35	5	686 686	A E
<b>%</b> -	¥TÇ	154	¥81	254	ညည္သ	354	AGA.	454	222	554	딇		63 666	38	83	686 686
	Ē		MATAC		3		MECC		136T	Ë	25	38	£ã	ST S	32	4
\$-	¥E.	144	3	244	Tet	¥.	SAG.	4.	[ATA]	544	ž Š	SG &	AT A	Ag AT	\$4	28
	Ę	-	TAT	•••	TST		E E	•	STAC	) JAA	Arg Arg	वह	8A BAT	£ 8	를	25
	3	<b></b> -	S S	<b>=</b>	3	<b></b> -	1666	<del>-</del>	₹	T C	\$8 8	35	SQ.	<b>198</b>	£ 8	Z E
<b>X</b> -	TATA	134	STA	234	ड्	34	TAGT	<b>4</b> -	TCTC	534 GTAGA:	93	48	<b>\$</b> 5	53	2 3	ĘŞ
	\$		TGC		<b>§</b>		) E		ACT		58		₹ E	šá	36	Va)
\$.	ATTA	124	TAAT	224	ATA	324	8	424	AAGG	524 Crac	ag E	83	<b>Va</b> 5	Ile ATA	34	Ęb
	TAT		AATA		ATTA		755		TAAC	ŽĮ.	ξE		£5	28	\$ <b>\$</b>	36
4.	Ž,	母-	¥	₹-	ACTC	₹-	₩ CCA	<b>4</b> -	2	4. CAT	Ser		SS	35	53	35
-	<b>§</b>	114	EAAT	214	TTAG	314	T667	414	ATG	514 MAGGC	£3	#E	3	ET TE	Ser	99
	ş		<b>GATA</b>		CTT		AAGA		S S	AGT	25		£g	A64	Se Se	<b>A A</b>
❖-	<b>3</b> 55	호.	AG	204	E	8	T661	쳝.	PACGG	504	35		ξŞ	298	28	33
	_		E		SATE		GTC		PAGE!	7	\$ 2		28	ASP	<b>6</b>	Att
		<b>\$</b> -	AGCCTITTAAGAGATAGAATTTAAAATATAATTTGCGTAAAACATTATTAAAAATACAAATTATAAATTAAGTTCAACTCATCCTATCTCACTCTTTAA	194	ATA	594		394	ই	494	Fet ATS	£8	78	ะีร์	Va.	žž
		•	-500	×	-400	ž	900	ř	-500	£. 5	3					

# FIG. 9 (cont'd.)

CTTASSATATGTATATCACTGSAACASATTCACTATSCCASTSTSTBASAAASCAATSATASTTCTAAATCCTCCCASTCTACTATSCCAATSTTTTAT +840 attittaattaatattittitatbatbecaataabaaattaatbabactttaataabaataabaatataacabictcaactabcatbatccaacabcatcba 3° TETTTETTCACTTCCAAGGAATTTATGTGTGCAAGAAAGATGTAATTGAAAAATTTAGCAATAGACTAATGGTTTTATATATTCTATGTTGCAATAAAT +740 AATATTTCAGGTTTTATGTTGGCTAAGAGGCCTAATGTTTGGGCCCTAGAAATCTCTGGTTAAACTGTGTAAAGATCTGTTACTTGGTTTAAAGTTTGTG +640 TCTATETTECTCTETTCCTTCSTTGAMATGTGTTTATGTTTTCTTATTCTGAGGATCATTTGTGTGAGGTCGTGTGAAA +540 lle Ser Gly OCHRE ATC TCT GGT TAA

Restriction	342-346 486-490 613-617	451-454 540-543 463-468	653-656 244-247 400-403	438-441 437-442 274-278	412-416 513-517	1-6 340-343 432-435	655-658
Restriction Enzyme	Pboll	Ilu	Rsal		Sfa NJ	Taqi	į
Restriction Site	278-283	271-275 311-315 648-669	339-344 311-315 665-669	413-418 620-624 274-278	174-178	621–624 725–730 213–217	973-27/ 905-606
Restriction Enzyme	AccI Ahalii	Asul	Asu I I Ava I I	Availi Cfri	Fok I Wae I I	Hg! AI Hinfl	

Xbal